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IN 09/2003

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PTO/SB/21 (05-03)

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FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

05+

Application Number

10/613,659

Filing Date

07/03/2003

First Named Inventor

Andrew DANFORTH

Art Unit

Examiner Name

Not yet assigned

Attorney Docket Number

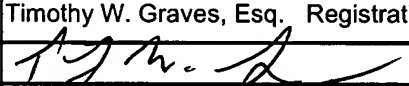
2816-025

ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Group |
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| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | This submission contains an Information Disclosure Statement Pursuant to 37 CFR §1.97; PTO/SB/08 Information Disclosure Statement by Applicant (3 pages) including 15 of 34 references; and a return receipt postcard. | |

Please note that copies of the cited U.S. Patents and U.S. Patent Applications are not enclosed pursuant to the PTO's waiver of the requirement under 37 C.F.R. § 1.98(a) for applications filed after June 30, 2003.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Timothy W. Graves, Esq. Registration No. 45, 940		
Signature			
Date	09/08/2003		

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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EXPRESS MAILING LABEL NO. EL984394468US IN DATE: 09/09/2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Andrew DANFORTH, et al.

Serial No.: 10/613,659
Filing Date: 07/03/2003

Group Art Unit: Not yet assigned
Examiner: Not yet assigned

For: Method to Block Unauthorized Access to TFTP Server Configuration Files

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR §1.97

Dear Sir:

In accordance with 37 CFR §1.56, Applicant hereby submits the following Information Disclosure Statement. The form PTO/SB/08 submitted lists references Applicant thinks may be relevant. These documents are not necessarily analogous art. It is respectfully requested that these documents be considered by the Examiner, and that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO/SB/08 with initials or other appropriate marks affixed. Copies of the cited U.S. Patents and U.S. Patent Applications are not enclosed pursuant to the PTO's waiver of the requirement under 37 CFR §1.98(a) for patent applications filed after June 30, 2003. Copies of the Non-Patent Literature Documents are enclosed herewith.

Respectfully Submitted,

Timothy W. Graves, Esq.
Registration No. 45,940
Roberts Abokhair & Mardula, LLC
11800 Sunrise Valley Drive, Suite 1000
Reston, Virginia 20191
(703) 391-2900



DATE: 09/09/2003

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 01 of 03

Complete if Known

Application Number	10/613,659
Filing Date	07/03/2003
First Named Inventor	Andrew DANFORTH
Art Unit	
Examiner Name	Not yet assigned
Attorney Docket Number	2816-025

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	01	US- 5,528,595	06/18/1996	Walsh et al.	
	02	US- 6,170,061	01/02/2001	Beser	
	03	US- 6,195,689	02/27/2001	Bahlmann	
	04	US- 6,208,656	03/27/2001	Hrastar et al.	
	05	US- 6,249,523	06/19/2001	Hrastar et al.	
	06	US- 6,286,058	09/04/2001	Hrastar et al.	
	07	US- 2001/0032311	10/18/2001	White	
	08	US- 6,324,267	11/27/2001	Hraster et al.	
	09	US- 2002/0023160	02/21/2002	Garrett et al.	
	10	US- 6,359,882	03/19/2002	Robles et al.	
	11	US- 2002/0035623	03/21/2002	Lawande et al.	
	12	US- 6,405,253	06/11/2002	Schutte et al.	
	13	US- 2002/0073433	06/13/2002	Furuta	
	14	US- 6,430,193	08/06/2002	Raissinia et al.	
	15	US- 2002/0144284	10/03/2002	Burroughs et al.	
	16	US- 2003/0033379	02/13/2003	Civanlar et al.	
	17	US- 6,546,017	04/08/2003	Khaunte	
	18	US- 2003/0070063	04/10/2003	Boyle et el.	
	19	US- 2003/0093669	05/15/2003	Morais et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Substitute for form 1449/PTO	
		Compleat if Known	
		Applicati n Numb r	10/613,659
		Filing Date	07/03/2003
		First Named Inventor	Andrew DANFORTH
		Art Unit	
Examiner Name	Not yet assigned		
Attorney Docket Number	2816-025		
Sheet	02	of	03

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	20	ALEXANDER, et al, "DHCP Options and BOOTP Vendor Extensions", March 1997	
	21	CABLE TELEVISION LABORATORIES, INC., "Data-Over-Cable Service Interface Specifications: Baseline Privacy Plus Interface Specification", SP-BPI+-I09-020830, August 30, 2002	
	22	CABLE TELEVISION LABORATORIES, INC., "Data-Over-Cable Service Interface Specifications: Radio Frequency Interface Specification", SP-RFiv1.1-I09-020830, August 30, 2002	
	23	CABLE TELEVISION LABORATORIES, INC., "Data-Over-Cable Service Interface Specifications: Radio Frequency Interface Specification", SP-RFiv2.0-I03-021218, December 18, 2002	
	24	CISCO, "Internal DOCSIS Configurator File Generator for the Cisco Cable Modem Termination System", Cisco Cable Modem Termination System Feature Guide, Chapter 6	
	25	COMMUNICATIONS TECHNOLOGY, "Cable Modem Security: Insulating Your Network While Keeping Your Subscribers Safe from Each Other", October 2001	
	26	CROFT et al, "BOOTSTRAP PROTOCOL (BOOTP)", September 1985	
	27	DROMS, "Dynamic Host Configuration Protocol", March 1997	
	28	JACOBS, et al, "Bandwidth Burglary in Broad Daylight: How to Prevent a Simple Hack", January 2003	
	29	PFENDTNER, "DOCSIS Network Security at WH-Netz", November 20, 2002	

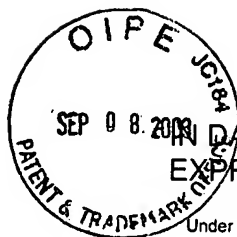
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**INFORMATION DISCLOSURE
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Sheet

03

of

03

Complete if Known

Application Number

10/613,659

Filing Date

07/03/2003

First Named Inventor

Andrew DANFORTH

Art Unit

Examiner Name

Not yet assigned

Attorney Docket Number

2816-025

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	30	RIVEST, "The MD5 Message-Digest Algorithm", April 1992	
	31	SOCIETY OF CABLE TELECOMMUNICATIONS ENGINEERS, INC., "Data-Over-Cable Service Interface Specification: DOCSIS 1.0 Radio Frequency Interface (RFI)", ANSI/SCTE 22-1 2002 (formerly DSS 02-05)	
	32	SOLLINS, "THE TFTP PROTOCOL (REVISION 2)", July 1992	
	33	TECHNICAL COMMUNICATIONS CORPORATION, "Technical Discussion on Key Length vs. Time to Break", 1996	
	34	WIMER, "Clarifications and Extensions for the Bootstrap Protocol", October 1993	

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